# $\alpha$ -Synuclein Oligomers and Clinical Implications for Parkinson Disease

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Protein aggregation within the central nervous system has been recognized as a defining feature of neurodegenerative diseases since the early 20th century. Since that time, there has been a growing list of neurodegenerative disorders, including Parkinson disease, which are characterized by inclusions of specific pathogenic proteins. This has led to the long-held dogma that these characteristic protein inclusions, which are composed of large insoluble fibrillar protein aggregates and visible by light microscopy, are responsible for cell death in these diseases. However, the correlation between protein inclusion formation and cytotoxicity is inconsistent, suggesting that another form of the pathogenic proteins may be contributing to neurodegeneration. There is emerging evidence implicating soluble oligomers, smaller protein aggregates not detectable by conventional microscopy, as potential culprits in the pathogenesis of neurodegenerative diseases. The protein α-synuclein is well recognized to contribute to the pathogenesis of Parkinson disease and is the major component of Lewy bodies and Lewy neurites. However, α-synuclein also forms oligomeric species, with certain conformations being toxic to cells. The mechanisms by which these  $\alpha$ -synuclein oligomers cause cell death are being actively investigated, as they may provide new strategies for diagnosis and treatment of Parkinson disease and related disorders. Here we review the possible role of α-synuclein oligomers in cell death in Parkinson disease and discuss the potential clinical implications.

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It has long been appreciated that neurodegenerative diseases share a common theme of abnormal protein aggregation. Early work by neuropathologists demonstrated that insoluble aggregates of misfolded proteins, observable under the light microscope, are associated with many neurodegenerative conditions, including Parkinson disease (PD). Subsequent work in the genetics underlying familial forms of these conditions and use of immunohistochemical methods led to identification of the major protein components of these inclusions. From these discoveries, the concept of proteinopathies has evolved with classification of neurodegenerative disorders based on the primary aggregated protein: synucleinopathies, amyloidopathies, tauopathies, prionopathies, trinucleotide repeat disorders, and TDP-43 proteinopathies (Table 1). The central feature of protein inclusions among neurodegenerative diseases supported a causal relationship with neuronal death. However, further neuropathological observations, as well as characterization of animal models of these diseases, have challenged the dogma that protein inclusions alone lead to neurodegeneration. Investigations into protein aggregation using biochemical and high-resolution microscopic techniques have revealed that, in addition to large insoluble inclusion bodies, many of these disease-associated proteins have a propensity to form small soluble aggregates, or oligomers. Although limited primarily to in vitro experiments, these initial findings suggested that oligomers may contribute to the pathogenicity of these proteins. More recent discoveries from studies with in vivo models and human patients are providing further support for this hypothesis. In this review, we focus on the potential role of α-synuclein oligomers as mediators of neurodegeneration in PD. There is increasing evidence that certain α-synuclein oligomeric species confer toxicity to cells through a variety of possible mechanisms. This emerging

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Proteinopathies	Toxic Protein	Protein Inclusions	Evidence for Toxic Oligomers
Synucleinopathies: PD, DLB, MSA	α-Synuclein	Neuronal	In vitro <sup>10</sup> ; cell culture <sup>16</sup> ; animal models <sup>21,88</sup> ; postmortem brain <sup>22,91</sup>
		Lewy bodies	
		Lewy neurites	
		Glial	
		Glial cytoplasmic inclusions	
Amyloidopathies: AD, Down syndrome	β-Amyloid	Extracellular	In vitro <sup>9,148</sup> ; cell culture <sup>148,149</sup> ; animal models <sup>148,150</sup> ; postmortem brain <sup>148,151</sup>
		Amyloid plaques	
		Vascular	
		Cerebral amyloid angiopathy	
Tauopathies: AD, FTLD, FTDP-17, PSP, CBD	Tau	Neuronal	In vitro <sup>152</sup> ; cell culture <sup>153</sup> ; animal models <sup>154,155</sup> ; postmortem brain <sup>156–158</sup>
		Neurofibrillary tangles	
		Pick bodies	
		Corticobasal bodies	
		Glial	
		Tufted astrocytes	
		Astrocytic plaques	
		Oligodendroglial coiled bodies	
Prionopathies: CJD, GSS, FFI, kuru	Prion protein	Extracellular	In vitro <sup>159,160</sup> ; cell culture <sup>160,16</sup> animal models <sup>159–161</sup> ; postmortem brain <sup>162</sup>
		Kuru plaques	
		Florid plaques	
Trinucleotide repeat disorders: HD, DRPLA, SBMA, SCAs	PolyQ protein	Neuronal: intranuclear inclusions	HD/huntingtin
			In vitro <sup>13,163</sup>
			Cell culture <sup>163,164</sup>
			Animal models <sup>165,166</sup>
			Postmortem brain 166,167
			DRPLA/atrophin-1
			Cell culture <sup>168</sup>
			SBMA/androgen receptor
			In vitro <sup>169</sup>
			Animal model <sup>170</sup>
TDP-43 proteinopathies: FTLD, ALS	TDP-43	Neuronal: cytoplasmic inclusions	_

AD = Alzheimer disease; ALS = amyotrophic lateral sclerosis; CBD = corticobasal degeneration; CJD = Creutzfeld–Jakob disease; DLB = dementia with Lewy bodies; DRPLA = dentatorubral–pallidoluysian atrophy; FFI = fatal familial insomnia; FTDP-17 = frontotemporal dementia with parkinsonism-17; FTLD = frontotemporal lobar degeneration; GSS = Gerstmann–Straussler–Scheinker syndrome; HD = Huntington disease; MSA = multiple system atrophy; PD = Parkinson disease; PolyQ = polyglutamine; PSP = progressive supranuclear palsy; SBMA = spinobulbar muscular atrophy; SCA = spinocerebellar ataxias; TDP-43 = TAR DNA binding protein.

paradigm implicates  $\alpha$ -synuclein oligomers in the early stages of neurodegeneration, opening new areas of investigation into biomarkers and therapeutics for PD and possibly other synucleinopathies.

## $\alpha$ -Synuclein Aggregation: From Monomers to Oligomers to Inclusions

α-Synuclein is a 14kDa neuronal protein from a family of structurally related proteins that are highly expressed in the brain.<sup>2,3</sup> Under physiological conditions, α-synuclein is enriched at presynaptic terminals, where it promotes the assembly of the SNARE machinery<sup>4</sup> and is proposed to play a role in neurotransmitter release,<sup>5</sup> as well as protection of nerve terminals against injury.<sup>6</sup> α-Synuclein has been widely accepted to have a natively unfolded tertiary structure, although recent studies have suggested it to be an  $\alpha$ -helically folded tetramer in its native conformation. 4,5 However, these findings have not been widely replicated, and the main physiological form of α-synuclein in the brain appears to be an unfolded monomer.<sup>7</sup> How pathogenic proteins such as α-synuclein proceed from monomers to inclusions is proposed to be a multistep process (Fig. 1A).8 Aggregation of 2 or more monomers leads to the formation of soluble oligomeric species, which have also been termed protofibrils because they are fibrillization intermediates.<sup>9</sup> Initial characterization of α-synuclein oligomers came from in vitro experiments in which recombinant α-synuclein was found to spontaneously aggregate. 10 This occurred in the absence of other proteins, and the propensity to aggregate increased with higher concentrations of  $\alpha$ -synuclein. High-resolution microscopic techniques, such as atomic force microscopy, allowed for direct visualization of the oligomers, which range in size from 4 to 24nm. Various morphologies were observed, including spherical, chainlike, annular, and tubular oligomeric structures. 11 These oligomers disappear upon formation of amyloid fibrils in vitro. The time course of α-synuclein oligomer formation and their morphology resemble those observed for in vitro oligomers of  $\beta$ -amyloid<sup>12</sup> and mutant huntingtin, <sup>13</sup> supporting a common aggregation process.

Early investigations into oligomer formation of these proteins were restricted to in vitro studies. However, increasing evidence demonstrates that formation of oligomers is not simply an artifact of in vitro recombinant proteins but also occurs within cellular and in vivo models. A novel assay based on protein-fragment complementation  $^{14}$  has permitted the detection and measurement of intracellular  $\alpha$ -synuclein oligomers in living cells, including cultured cell lines  $^{15-18}$  and neurons,  $^{19}$  as well as extracellular  $\alpha$ -synuclein oligomers in conditioned cell

culture media. <sup>19</sup> Furthermore, biochemical studies using methods that can separate protein complexes, such as size exclusion chromatography or nondenaturing protein gel electrophoresis, have provided evidence for endogenous α-synuclein oligomers in brain extracts from rodent models. <sup>20,21</sup> Initial evidence for the presence of α-synuclein oligomers in the central nervous system of PD patients came from their detection in brain extracts from postmortem samples. <sup>22</sup> More recently, α-synuclein oligomers have been identified in plasma <sup>23</sup> and cerebrospinal fluid (CSF) <sup>24</sup> of PD patients. Definitive evidence for their presence in the brains of PD patients in vivo—for example, with radioligand-based imaging technologies—remains to be obtained (see discussion of biomarkers below).

Oligomeric species can self-associate to form a nucleus or seed. Seed formation is a rate-limiting step after which aggregate growth proceeds rapidly with the further addition of monomers or other oligomers to the nucleus of proteins. This nucleation-dependent step results in the formation of amyloid fibrils, which are insoluble large protein aggregates with a cross- $\beta$  architecture formed by  $\beta$ -strands organized perpendicular to the long axis of the fibril.8 Amyloid fibrils display a characteristic fibrillar structure observed by electron microscopy. They also bind to Congo red dye, resulting in green birefringence under cross-polarized light and stain with the fluorescent dye thioflavin S. α-Synuclein, as well as a number of proteins involved in neurodegeneration, are capable of forming these ordered aggregates. Amyloid fibrils are common to the proteinopathies, being the primary component of most inclusions regardless of the associated protein (see Table 1).25 In PD, α-synuclein amyloid fibrils are the major constituents of Lewy bodies (LBs) and Lewy neurites (LNs), the protein inclusions characteristic of the disease.26

Factors that are thought to promote the cascade of α-synuclein aggregation include missense mutations in the  $\alpha$ -synuclein gene (SNCA), such as those associated with rare familial autosomal dominant forms of PD (A53T, A30P, E46K). 10,27-30 Mutations associated with familial PD in which SNCA is duplicated or triplicated31,32 also increase the propensity of the protein to aggregate, likely as a result of increased α-synuclein protein concentrations and consequent macromolecular crowding.<sup>33</sup> In idiopathic PD, polymorphisms in SNCA have been identified as risk factors for development of the disease. 34,35 The effects of these genetic variations on the protein remain to be fully elucidated; however, some polymorphisms may be associated with increased α-synuclein protein expression<sup>36,37</sup> and therefore may have consequences similar to SNCA multiplications. Aggregation

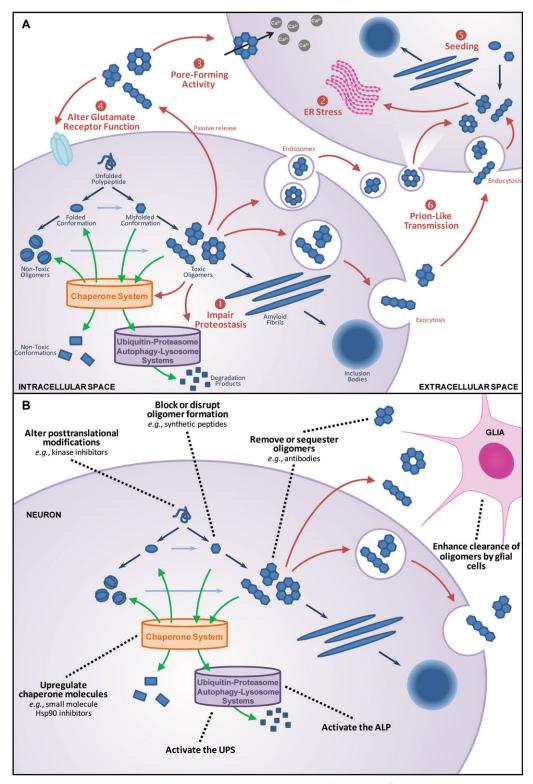


FIGURE 1:  $\alpha$ -Synuclein oligomers and Parkinson disease. (A) Formation and toxicity of  $\alpha$ -synuclein oligomers. Protein aggregation in neurodegenerative diseases is initiated by aberrant protein folding, which leads to the formation of oligomers and eventually amyloid fibrils and inclusion bodies (*blue arrows*). Certain oligomeric species are toxic to cells by mechanisms that include (1) impairment of proteostasis, (2) chronic endoplasmic reticulum (ER) stress, (3) pore formation, (4) glutamate receptor dysfunction, and (5) seeding with (6) prion-like transmission (*red arrows*), all of which may combine in the pathogenic process of cell death and transmission. Endogenous cellular systems that can reduce oligomer levels are the chaperone network, the ubiquitin–proteasomal system (UPS), and the autophagy–lysosomal pathway (ALP; *green arrows*). (B) Potential treatment strategies that reduce toxic  $\alpha$ -synuclein oligomers to slow or prevent neurodegeneration. These strategies target different steps along the protein aggregation pathway, as well as intracellular and/or extracellular pools of  $\alpha$ -synuclein oligomers. The primary goal of treatment is to reduce toxic oligomer levels directly or indirectly by preventing oligomer formation, disrupting already formed oligomers, promoting degradation of toxic oligomers or conversion of toxic oligomers to nontoxic oligomers, and sequestering or clearing oligomers by antibody or cell-based mechanisms to prevent cell-to-cell transmission (*black dotted lines*).

of α-synuclein protein can also be influenced by its posttranslational modifications. For instance, α-synuclein phosphorylation on serine 129 promotes aggregation, <sup>38,39</sup> whereas ubiquitination<sup>23</sup> or nitration<sup>22</sup> of  $\alpha$ -synuclein is associated with reduced aggregation. 18,40 α-Synuclein aggregation can occur not only from perturbations of the protein itself but also due to dysfunction of the cellular machinery that has evolved to handle detrimental proteins. This includes the chaperone networks that regulate protein folding and refolding of misfolded proteins, 41 as well as the ubiquitin-proteasomal system (UPS) and autophagy-lysosomal pathway (ALP), which are responsible for elimination of harmful proteins (see Fig. 1A). 42 Evaluation of human postmortem tissue has demonstrated that UPS components and activity, as well as constituents of the ALP, 43-45 are reduced in the substantia nigra of PD patients compared to controls. These systems may fail due to saturation, as in the case of increased protein expression. Failure may also occur as a result of faulty components; for example, mutation in the gene encoding the lysosomal enzyme glucocerebrosidase is the most common genetic risk factor for PD.46 If not affected by saturation or mutations, these systems may simply fail over time. Aging is a major risk factor for PD with multiple contributors, including genetic predisposition and environmental insults, altering the normal course of age-related neuronal dysfunction. 47,48 The efficacy of the chaperone network, UPS, and ALP are known to decline with age, 49 and this may facilitate accumulation of  $\alpha$ -synuclein in PD.

## α-Synuclein Oligomers and Neurodegeneration

Lewy pathology is a feature of idiopathic and most autosomal dominantly inherited forms of PD. 1,50,51 LBs are intracytoplasmic inclusions 5 to  $25\mu m$  in diameter, which are found within the soma of neurons, and LNs are dystrophic neuronal processes. Although Lewy pathology is a hallmark of PD, there is accumulating evidence that these insoluble α-synuclein-containing inclusions alone do not explain the pathogenesis of the disease. Perhaps the first piece of evidence that these protein inclusions do not invariably cause neurodegeneration was the observation of incidental LBs at autopsy of aged individuals without clinical features of PD or another neurodegenerative disease.<sup>52</sup> Incidental LBs without degeneration in asymptomatic individuals are not an uncommon finding and constituted approximately 12% of a series of >1,200 consecutive autopsy cases.<sup>53</sup> Although this is often proposed to be a presymptomatic stage of PD, the presence of LBs is not directly linked to neuronal loss.

Further evidence that protein inclusions are related to, but separable from, neurodegeneration in PD comes from neuropathological analysis of genetic forms of the disease.<sup>51</sup> The most common form of autosomal recessive early onset PD is associated with mutations in the parkin gene. LBs or LB-like α-synuclein-positive inclusions have been found in association with neurodegeneration in a minority of cases of parkin-associated parkinsonism, 54-56 with most cases reported to date lacking LBs or LNs. 57-63 Heterogeneity of neuropathological findings is seen in PD due to mutations in the gene encoding leucine-rich repeat kinase 2 (LRRK2). LRRK2 mutations are the most common known genetic cause of PD, occurring in approximately 10% of patients with familial autosomal dominant PD and in close to 4% of patients with sporadic PD.64 Postmortem analysis of PD patients with LRRK2 mutations have demonstrated neurodegeneration both with and without Lewy pathology.65-80 Further studies comparing LRRK2 mutation cases with and without Lewy pathology are expected to help elucidate the contribution of these insoluble \alpha-synuclein aggregates to neurodegeneration in these genetic forms of PD. Similarly, obtaining a larger number of well-described neuropathological cases of LRRK2 and other genetic forms of PD may assist in explaining why certain gene mutations are associated with Lewy pathology, others with lack of Lewy pathology, and still others with heterogeneous pathologic features.

The inconsistent association between Lewy pathology and neuronal death seen in human autopsy studies is recapitulated in PD animal models. For example, the classic toxin-induced model using 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine (MPTP) is associated with motor symptoms and dopaminergic neurodegeneration in primates and mice without convincing Lewy pathology. 81 Although α-synuclein inclusions are absent in this model, α-synuclein is required for MPTP-induced neurodegeneration, as demonstrated by the resistance of α-synuclein null mice to MPTP intoxication.82 In contrast, chronic treatment with the toxin rotenone leads to nigrostriatal pathway neurodegeneration with nigral inclusions similar to Lewy bodies in a subset of treated rats.81,83 Targeted overexpression of wild-type or mutant α-synuclein within the nigrostriatal system using viral delivery in primates or rats induces progressive degeneration of dopaminergic neurons associated with nonfibrillar α-synuclein inclusions but not LBs or LNs.84 In general, transgenic PD models of autosomal dominant forms of PD (α-synuclein, LRRK2) and knockout models of autosomal recessive forms (parkin, PINK1, DJ-1) lack both dopaminergic neuronal loss and Lewy pathology.<sup>85</sup> The transgenic animals with one of the most severe

phenotypes to date are mice expressing human A53T mutant  $\alpha$ -synuclein under the control of the prion-related protein promoter. <sup>86,87</sup> These animals display neurodegeneration with fibrillary  $\alpha$ -synuclein inclusions but also exhibit a range of  $\alpha$ -synuclein species, including insoluble aggregates and soluble oligomeric forms. <sup>86</sup> Taken together, the neuropathological findings in human post-mortem studies and animal models demonstrate that Lewy pathology alone cannot account for  $\alpha$ -synuclein-associated neuronal death. Thus, a different form of  $\alpha$ -synuclein must also contribute to toxicity caused by the protein.

### Evidence for α-Synuclein Oligomer Toxicity

An emerging hypothesis proposes that there are toxic oligomeric species of  $\alpha$ -synuclein. Studies using protein-fragment complementation assays have demonstrated that αsynuclein oligomers are associated with enhanced toxicity in cell culture models as measured by release of adenylate kinase from damaged cells, adenosine triphosphate levels, or caspase 3/7 activity. 15-17,19 To examine the contribution of α-synuclein oligomerization to cell toxicity in animal models, various α-synuclein mutants have been designed to possess different aggregation properties. Introduction of 1 or more missense mutations involving specific alanine residues (ie, A56P or triple mutant A30P/A56P/A76P) that participate in α-synuclein amyloid fibril formation generates mutant forms of a-synuclein with decreased capacity to form fibrils but increased propensity to form soluble oligomers.<sup>88</sup> These design mutants were expressed in 2 different invertebrate model organisms: Drosophila melanogaster (fruit fly) and Caenorhabditis elegans (nematode worm). There was increased loss of dopaminergic neurons in flies expressing either of the oligomer-prone mutants. Those mutants causing the most neuronal loss also demonstrated the greatest motor deficits as measured by a climbing assay. Similarly, worms expressing either of the α-synuclein mutants also had pronounced dopaminergic neurodegeneration associated with significant impairment in dopamine-mediated behaviors. Interestingly, neurodegeneration associated with expression of these mutants was not restricted to dopaminergic neurons in this model; expression in other neuronal populations resulted in death of nondopaminergic neurons.<sup>88</sup> This finding is important in view of the increasing recognition that PD is a multisystem disorder affecting many nondopaminergic systems.<sup>89</sup> A similar approach was used to study α-synuclein oligomer toxicity in a mammalian model.<sup>21</sup> Different αsynuclein mutants were designed that included oligomerforming variants (E35K, E57K) and fibril-promoting variants (α-synuclein[30–110]). E35K and E57K were devised based on the prediction that these mutations

would disrupt salt bridges between  $\beta$ -strands of  $\alpha$ -synuclein and thereby interfere with formation of  $\alpha$ -synuclein amyloid fibrils. Consistent with this prediction, neither of these 2 mutants showed amyloid fibrils visible by electron microscopy, but they each formed pore-like, or annular, oligomeric structures. The α-synuclein(30-110) variant includes the residues required for amyloid fibril formation but lacks the N- and C-terminal residues that interfere with aggregation. This variant had an increased rate of formation of fibrils similar in structure to those found in LBs. A rat model was used in which viral-mediated overexpression of each of the α-synuclein mutants in the substantia nigra was achieved by stereotactic injection of lentivirus. E35K and E57K were found to induce the largest amount of dopaminergic neuronal loss in the substantia nigra, whereas α-synuclein(30–110) did not demonstrate a significant toxic effect.<sup>21</sup> The findings from these 3 different animal models support a role for α-synuclein oligomers in mediating cell death in vivo with consequences for dopaminergic and possibly nondopaminergic systems.

Further support for a role of oligomers in neurodegeneration is the observation that higher oligomer levels are associated with disease. For instance, the amount of αsynuclein oligomers is higher in transgenic mice expressing A53T mutant α-synuclein compared to transgenic mice expressing wild-type α-syn<sup>90</sup> and in mouse models of Gaucher disease versus wild-type mice.<sup>20</sup> Moreover, α-synuclein oligomer levels are increased in the cerebral cortex of patients with idiopathic PD or dementia with LBs, a related synucleinopathy, compared to age-matched normal controls. 22,91 The presence of endogenous α-synuclein oligomers in common genetic forms of PD has not yet been established. However, patients with parkinsonism and Gaucher disease (to date, universally associated with Lewy pathology 92-96) have been found to have increased levels of α-synuclein oligomers compared to those without parkinsonism or to healthy controls without mutations associated with Gaucher disease.<sup>20</sup> Unlike LBs and LNs, which are visualized in situ with conventional light microscopy at autopsy, α-synuclein oligomers have only been detected in brain tissue following protein extraction. Novel methods will need to be developed to definitively demonstrate elevated α-synuclein oligomer levels in intact brains of PD patients (see discussion below on radioligand-based brain imaging). Regardless, these findings suggest a relationship between endogenous α-synuclein oligomers and the neurodegeneration of PD.

### Potential Mechanisms of $\alpha$ -Synuclein Oligomer Toxicity

How  $\alpha$ -synuclein oligomers mediate cell death has not yet been fully elucidated but likely involves a number of

different intracellular and extracellular mechanisms (see Fig. 1A). Within the cytoplasm, proteostasis (ie, protein homeostasis) is maintained by the cell's ability to refold misfolded proteins or target those proteins that are not amenable to refolding for degradation. Maintenance of proteostasis is accomplished through the cooperation of the chaperone system with the cell's protein degradation systems mediated by proteasomes and lysosomes. α-Synuclein oligomers may promote the demise of the cell by inhibiting these critical systems and disrupting proteostasis. In a bacterial system, α-synuclein oligomers inhibited the protein refolding rate of the Hsp70 chaperone system, whereas monomeric α-synuclein did not inhibit chaperone function, nor did other types of protein aggregates. 97 Similar findings were observed when the human Hsp70 chaperone system was reconstituted in vitro. Overexpression of  $\alpha$ -synuclein is known to suppress the UPS, 98,99 and this may be attributed, at least in part, to oligomeric α-synuclein. Soluble α-synuclein oligomers can impair the chymotrypsin-like protease activity of the proteasome in vitro, whereas  $\beta$ -synuclein, another member of the synuclein protein family, does not affect proteasomal function. 100 Overexpression of α-synuclein also inhibits the lysosomal enzyme glucocerebrosidase,<sup>20</sup> but the effects of α-synuclein oligomers on the ALP require further investigation. Impaired proteostasis can lead to chronic endoplasmic reticulum (ER) stress, which can induce cell death. 101 Studies in yeast and other cells have demonstrated an association between α-synuclein-mediated toxicity and chronic ER stress. 102,103 Recent investigations using transgenic α-synuclein mice suggest that αsynuclein oligomers can form within the lumen of the ER, accumulate within the ER, and sensitize neurons to ER stress. 104,105 Furthermore, postmortem examination of brainstem specimens of PD patients revealed accumulation of α-synuclein oligomers within the ER compartment, <sup>105</sup> suggesting that toxic α-synuclein oligomers in the intracellular space may mediate neurodegeneration by causing chronic ER stress.

 $\alpha$ -Synuclein oligomers in the extracellular space appear to have different mechanisms of cell toxicity depending on their morphology (see Fig. 1A). Oligomers with an annular structure have pore-forming activity. <sup>106</sup> Dopaminergic cell lines or primary neuronal cultures treated with  $\alpha$ -synuclein oligomers enriched in the annular conformation display an increase in intracellular calcium (whereas  $\alpha$ -synuclein monomers have no effect). <sup>107</sup> This is associated with caspase activation and a reduction in cell number, both surrogate measures of cell death. Thus, annular oligomers may confer cell toxicity by forming a pore that disrupts the cell membrane, similar to the membrane attack complex of the complement

system, resulting in influx of extracellular calcium and leading to neuronal death. Conversely, the globular type of α-synuclein oligomers may act at synapses to impair neuronal function by altering the function of the glutamatergic receptors. Extracellular application of these oligomers onto cultured hippocampal neurons resulted in increased amplitudes of α-amino-3-hydroxy-5-methylisoxazole-4-propionic acid (AMPA) receptor-mediated excitatory postsynaptic currents. 108 The amplitudes of Nmethyl-D-aspartate receptor-mediated currents were unaffected. The consequences of AMPA receptor activation by α-synuclein oligomers remain to be further elucidated, but may include dysfunctional synaptic signaling or activation of intracellular pathways leading to excitotoxicity and neuronal death. Evidence for altered synaptic signaling associated with extracellular  $\alpha$ -synuclein oligomers comes from recent studies of long-term potentiation (LTP), a cellular model of synaptic plasticity, in which  $\alpha$ synuclein oligomers (but not monomers or amyloid fibrils) were found to abolish hippocampal LTP in organotypic brain slices. 109

Globular, as well as protofibrillar, oligomers may also promote neuronal death by seeding, in which soluble oligomers act as nuclei from which insoluble aggregates form (see Fig. 1A). When applied extracellularly to cultured cells, these forms of α-synuclein oligomers directly entered cells and led to intracellular seeding. 107 Seeding is a key step in prion-like mechanisms and thus has been implicated in disease propagation within the nervous system for many neurodegenerative diseases. 110 Support for α-synuclein seeding and a prion-like process in PD comes from the demonstration by multiple groups that healthy dopaminergic neurons transplanted into PD brains can eventually form LBs. 111,112 This has been replicated in animal models. 113,114 In addition to seeding, prion-like propagation requires intercellular transfer of the pathogenic protein. This has been demonstrated for α-synuclein oligomers in cell culture. 19,115 The way in which α-synuclein from the intracellular compartment is released into the extracellular space may occur through passive release; for example, membrane disruption and leakage of cellular contents associated with cell death. Active processes, such as exocytosis 116 and calcium-dependent exosomal mechanisms, 117 have also been shown in cell culture systems to mediate release of intracellular α-synuclein. Endocytosis appears to be the mechanism by which extracellular α-synuclein is taken up by neighboring cells. 115,118,119 Taken together, these findings implicate certain α-synuclein oligomeric species in prion-like propagation of neurodegeneration in PD. This topic has recently been reviewed in detail. 120,121

### Clinical Implications for PD

Important caveats of  $\alpha$ -synuclein oligomer toxicity have been discovered. First, the toxicity of  $\alpha$ -synuclein oligomers is specific to certain oligomeric species. <sup>107,122</sup> Next, toxic  $\alpha$ -synuclein oligomers can cause cell death whether they are formed within the intracellular environment <sup>15–17</sup> or exist within the extracellular space. <sup>19</sup> Finally, oligomer-mediated cytotoxicity can be regulated by altering the levels of  $\alpha$ -synuclein oligomers. For instance, molecular chaperones, such as Hsp70<sup>17,19</sup> and C-terminus of Hsp70-interacting protein (CHIP), <sup>16,18</sup> reduce  $\alpha$ -synuclein oligomer levels, and enhanced expression of these chaperone molecules decrease cell death due to  $\alpha$ -synuclein oligomers. These caveats will have implications for clinical applications discussed below.

### α-Synuclein Oligomers as Potential Biomarkers

If indeed α-synuclein oligomer toxicity initiates neuronal death in PD by the mechanisms described in the previous section, or by additional undiscovered mechanisms, these toxic oligomers must be present prior to the onset of clinical symptoms. This has important implications for diagnosis and treatment of people with PD. At present, the clinical diagnosis of PD relies on the presence of the disease's cardinal motor features: rest tremor, rigidity, bradykinesia, and loss of postural reflexes. 123 This method of diagnosis is particularly limited during early stages of the disease, when diagnostic accuracy is 90% at best. 124 The more significant limitation is that the neurodegenerative process associated with PD precedes onset of motor symptoms, with approximately 70% of neurons in the ventral lateral substantia nigra being lost before motor features appear. 125 It has been increasingly recognized that certain nonmotor features can antedate the motor symptoms and therefore may have the potential to allow for earlier diagnosis of PD.89 However, there are limitations to this approach because most nonmotor features are not specific or sensitive for PD or underlying Lewy pathology. Thus, α-synuclein oligomers could serve as a biomarker that would allow for identification of atrisk individuals before clinical diagnosis. Detection of αsynuclein oligomers may also provide a means to monitor disease progression and to follow response to treatments.

For  $\alpha$ -synuclein oligomers to be a useful biomarker, methods for reliably measuring oligomer levels in brains of patients are necessary and ideally should be inexpensive and noninvasive. The development of surrogate measures of brain  $\alpha$ -synuclein oligomer levels is in its early stages, but recent studies demonstrate the feasibility of detecting oligomers in plasma or CSF.  $^{23,24}$  A sandwich

enzyme-linked immunosorbent assay method was used to detect soluble α-synuclein oligomers. PD patients had higher levels of α-synuclein oligomers in plasma<sup>23</sup> or CSF<sup>24</sup> compared to age-matched controls. Depending on the cutoff values selected for a positive test result, sensitivity and specificity of the test measuring oligomers in plasma were 85% and 53%, respectively, for a diagnosis of PD using clinical diagnosis based on the United Kingdom PD Society Brain Bank criteria 126 as the gold standard. The assay for  $\alpha$ -synuclein oligomers in CSF had a diagnostic sensitivity of 87% and a specificity of 75%. The accuracy of these tests may be improved by modifying the methods (for example, developing antibodies specific for toxic α-synuclein oligomers) or by using the tests in conjunction with other measures (such as neuroimaging techniques described below). Further validation in larger well-controlled prospective studies will be required to determine whether plasma and/or CSF α-synuclein oligomers will be useful as biomarkers to diagnose PD in its early stages or to predict which asymptomatic patients are going to develop the disease. Other fluid biomarkers that have not yet been explored include measuring α-synuclein oligomers in saliva or urine. However, reliability and utility of assays to measure α-synuclein oligomer levels in bodily fluids may be limited by the inherent propensity of α-synuclein to spontaneously form oligomers in vitro in a time- and concentration-dependent manner.

A more robust and useful technique would directly measure α-synuclein oligomers in vivo. Radioligand-based brain imaging technologies, such as positron emission tomography and single-photon emission computed tomography, could provide a noninvasive method for visualizing  $\alpha$ -synuclein oligomers within the brains of patients. Significant advances have been made in the development of methods to image protein inclusions in Alzheimer disease (AD). For example, ligands for  $\beta$ -amyloid such as Pittsburgh compound-B (PIB)<sup>127</sup> and florbetapir F18<sup>128</sup> are now widely used to image amyloid plaques in vivo. Radioligand-based imaging for PD is less advanced, with α-synuclein ligands for direct visualization of LBs and LNs still being developed and characterized. 129,130 In the absence of specific α-synuclein ligands, a possible method to indirectly image Lewy pathology could be to measure total amyloid deposits in the brain with a generic amyloid ligand (for example, a thioflavin S derivative) and then subtract out non-α-synuclein-containing inclusions (for example,  $\beta$ -amyloid plaques measured with PIB). Development of α-synuclein ligands with specificity for α-synuclein oligomers may also pose a challenge because the usefulness of radioligand-based methods would require the ability to discriminate between α-synuclein oligomers and oligomers composed of other proteins (see

Table 1). Again, indirectly measuring  $\alpha$ -synuclein oligomers by developing a pan-oligomer ligand and then subtracting out non- $\alpha$ -synuclein-containing oligomers could be a useful approach. An additional challenge is developing ligands that allow for distinguishing between toxic and nontoxic  $\alpha$ -synuclein oligomeric species. The presence of toxic  $\alpha$ -synuclein oligomers in the extracellular space and their potential role in the early pathogenesis of PD may make development of ligands for oligomeric  $\alpha$ -synuclein rather than intracellular fibrillar  $\alpha$ -synuclein both more feasible and clinically useful.

### $\alpha$ -Synuclein Oligomers as Potential Treatment Targets

The clinical importance of biomarkers for early diagnosis of PD lies in the anticipated discovery of neuroprotective treatments. As with other neurodegenerative diseases such as AD and Huntington disease, diagnostic and treatment approaches for PD are shifting toward attempts at diagnosing very early to treat with disease-modifying therapies before more severe neurodegeneration has become well established. The discovery of a role for α-synuclein oligomers in neuronal loss in PD opens a new area of investigation into disease-modifying therapies for PD and related synucleinopathies using α-synuclein oligomeric species as the treatment target. The main goal of these therapies would be to reduce toxic  $\alpha$ -synuclein oligomer levels by preventing their formation, disassembling already formed oligomers, or sequestering or removing them from the intracellular and/or extracellular space. Multiple approaches aimed at different steps along the protein aggregation pathway and at various aspects of oligomer toxicity are currently being examined in basic research and preclinical studies. These approaches include altering the post-translational modification state of α-synuclein, directly blocking or disrupting oligomer formation, preventing cell-to-cell transmission of α-synuclein oligomers, upregulating the chaperone system, or promoting the clearance of toxic oligomeric species (see Fig. 1B).

Post-translational modifications of  $\alpha$ -synuclein can influence its propensity to form oligomers. For example, phosphorylation of  $\alpha$ -synuclein on serine 129 promotes  $\alpha$ -synuclein aggregation. Protein phosphorylation is regulated by 2 opposing classes of enzymes: protein kinases, which catalyze the transfer of phosphate to proteins, and protein phosphatases, which dephosphorylate proteins. Thus, kinase inhibitors or phosphatase activators can reduce the phosphorylation state of a protein. Several kinase inhibitors have been developed for treatment of nonneurological disorders, especially cancer. Extension of this work to develop specific inhibitors of the kinase that mediates serine  $\alpha$ -synuclein phosphorylation may lead to the development

of oligomer-lowering drugs (see Fig. 1B).  $^{132}$  In contrast to phosphorylation, ubiquitination of  $\alpha$ -synuclein can be associated with decreased oligomer levels.  $^{18}$  Ubiquitination is also regulated by 2 enzyme families, ubiquitin ligases and deubiquitinases, which add and remove the ubiquitin moiety to and from proteins, respectively. Similar to kinase inhibitors, drugs that inhibit the deubiquitinating enzyme that mediates  $\alpha$ -synuclein ubiquitination may reduce oligomer levels. Although less advanced than kinase inhibitor development, drug discovery programs for the ubiquitin system are expected to expand.  $^{131}$  The major challenge for this strategy is that phosphorylation and ubiquitination regulate most aspects of cell life, and therefore specificity of inhibition is critical to prevent dysregulation of other important cellular functions.

Directly blocking or disrupting α-synuclein oligomer formation using small molecules or synthetic peptides is another strategy to reduce oligomer-mediated neurodegeneration (see Fig. 1B). The small molecule curcumin, a polyphenolic compound found in the spice turmeric, was recently demonstrated to bind with high affinity to α-synuclein monomers. 133 Upon binding, curcumin promotes a conformation of α-synuclein with reduced propensity to aggregate and thereby prevents formation of α-synuclein oligomers and fibrils. Polyphenols are a structural class of organic chemicals characterized by multiple aromatic rings and produced by many different plants. Other polyphenolic compounds are able to inhibit α-synuclein oligomer formation and even disaggregate preformed α-synuclein oligomeric structures in vitro, possibly by interacting with the aromatic amino acids of  $\alpha$ -synuclein that facilitate protein aggregation. <sup>134</sup> Synthetic peptides may also be useful to reduce oligomer levels by interacting with specific regions of  $\alpha$ -synuclein. Peptides can interfere with protein-protein interactions if designed to match the specific amino acid sequence to which a protein binds. The sequence within  $\alpha$ -synuclein responsible for its self-association has been identified as amino acids 64 to 86.135 Short synthetic peptides containing part of this sequence (amino acids 69-72) bind to full-length α-synuclein and preclude the assembly of oligomers and fibrils in vitro. Membrane-permeable versions of these peptides have been developed, 135 but their effects on α-synuclein oligomers in cell culture or animal models have not yet been reported. At present, a major limitation of oligomer-targeting small molecules and synthetic peptides as a treatment for PD is attaining adequate permeability through the blood-brain barrier. Additional limitations include bioavailability and metabolic turnover of small molecules, as well as generation of an immune response to peptides or degradation of peptides by endogenous proteases. Moreover, the

approaches to targeting  $\alpha$ -synuclein oligomers described above may not discriminate between different forms of  $\alpha$ -synuclein oligomers.

Treatments that target the specific α-synuclein oligomeric species that are toxic to neurons are expected to reduce neurodegeneration as well as avoid side effects that may result from inhibiting nontoxic α-synuclein oligomers. The possibility that native cellular  $\alpha$ -synuclein exists as an  $\alpha$ -helically folded tetramer and not all  $\alpha$ -synuclein oligomers are toxic<sup>5</sup> suggests that indiscriminate targeting of α-synuclein oligomers may impact the physiologic function of endogenous α-synuclein. Unlike small molecules and synthetic peptides, which typically recognize specific amino acids or short sequences within a protein, antibodies can be designed against protein conformations and therefore have potential for development as oligomer-specific targeting therapies. Monoclonal mouse antibodies have been generated against α-synuclein oligomers, and a clone that can be internalized by cells to reduce intracellular levels of α-synuclein oligomers in cell culture has been identified. 136 Similarly, phage display technology has been used to isolate single-chain variable fragments, which are fusion proteins of the heavy and light chains of immunoglobulins, against specific oligomeric conformations of  $\alpha$ -synuclein. <sup>137,138</sup> Using this technique, 2 different single-chain variable fragments were found to recognize distinct populations of toxic αsynuclein oligomers. Antibodies also provide a potential means by which intercellular transmission of  $\alpha$ -synuclein oligomers can be blocked, thereby inhibiting prion-like propagation.

Preclinical studies support the potential for immunotherapy as an approach to treat PD. These include studies investigating active immunization using a mouse model of PD. 139 Specifically, transgenic mice overexpressing human  $\alpha$ -synuclein were vaccinated with recombinant human  $\alpha$ synuclein. Vaccinated mice that produced high relative affinity anti-α-synuclein antibodies were found to have reduced levels of α-synuclein oligomers. Passive immunization of these transgenic mice by injection of a monoclonal anti- $\alpha$ -synuclein antibody also resulted in a decrease in  $\alpha$ synuclein oligomer levels. 140 This was associated with improvement in motor behavior, as well as learning and memory tasks, suggesting specificity of targeting toxic oligomeric species. Translation of immunization strategies from animal models to clinical trials are just beginning, with the first phase I study investigating active immunization using an α-synuclein-based peptide vaccine in PD patients (Clinical Trials.gov, NCT01568099). Clinical trials are further advanced in the field of AD, where  $\beta$ -amyloid immunotherapy is being developed. <sup>141</sup>  $\beta$ -Amyloid is a

suitable target for antibody-based therapies because of its extracellular site of action, whereas targeting α-synuclein may be more challenging because of its intracellular and extracellular pools. There have been setbacks for  $\beta$ -amyloid immunotherapy, including early termination of phase II clinical trials testing active immunization due to a small but significant occurrence of meningoencephalitis. There have also been conflicting results regarding the clinical benefit of  $\beta$ -amyloid immunization for AD patients. Regardless, immunotherapy remains a promising area of investigation for AD and an emerging strategy for treatment of PD. It is anticipated that knowledge gained from β-amyloid vaccination in humans will allow for optimization of similar vaccines to avoid detrimental central nervous system inflammatory reactions. This may not be a concern for PD if the inflammatory response observed in AD was related to coexistence of cerebral amyloid angiopathy; unlike  $\beta$ -amyloid,  $\alpha$ -synuclein does not deposit in leptomeningeal and cortical blood vessels. Furthermore, using antibodies that are designed to target toxic oligomers, instead of antibodies that do not recognize conformational epitopes, is expected to more specifically treat the underlying pathological process and translate to improved efficacy as well as safety.

An indirect strategy to reduce toxic α-synuclein oligomeric species is to upregulate the intracellular and extracellular systems responsible for regulating oligomer levels (see Fig. 1B). Specific chaperone molecules, such as Hsp70<sup>17,19</sup> and CHIP, can reduce α-synuclein oligomers likely by multiple mechanisms, including refolding misfolded proteins, disrupting protein aggregates, and promoting protein clearance. Several brainpermeable small molecules that increase Hsp70 levels have been studied in PD models.<sup>142</sup> In particular, small molecule inhibitors of the chaperone molecule Hsp90, which induce Hsp70 expression, can decrease α-synuclein oligomerization and rescue α-synuclein-induced toxicity. 17 Additional strategies to enhance chaperone activity and reduce α-synuclein levels include viral-mediated gene therapy or transduction-based peptide delivery to increase chaperone levels or to modulate chaperone activity. 142 The ALP is also important in regulating α-synuclein oligomer levels by clearing oligomers within the intracellular compartment. 143 Investigations into drugs that can enhance this pathway and reduce toxic α-synuclein species are being initiated. 144 Areas for future investigation include strategies to discriminately activate the UPS to expedite the elimination of intracellular α-synuclein oligomers<sup>145</sup> and to upregulate glia-mediated mechanisms for specific clearance of extracellular α-synuclein oligomers. 146,147

### **Conclusions**

Protein aggregation remains a unifying theme among neurodegenerative diseases, but our understanding of the relationship between aggregates of pathogenic proteins and neurodegeneration continues to evolve. As illustrated by ongoing research into the role of  $\alpha$ -synuclein in PD pathogenesis, there has been a shift in focus from protein inclusions to oligomers. In PD and other neurodegenerative conditions, protein inclusions do not represent the sole neurotoxic protein entity. Oligomers have emerged as important contributors to toxicity, and therefore their role in neurodegeneration warrants further investigation. If the oligomer hypothesis turns out to be correct, it will have the potential to lead to neuroprotective therapies that, to date, have remained elusive for PD and all other neurodegenerative diseases.

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### **Potential Conflicts of Interest**

A.M.L.: consultancy, Medtronic, St Jude, Boston Scientific, Ceregene; paid review activities, Brain Stimulation Editorial Board; board membership, patents, royalties, stock/stock options, Functional Neuroscience. A.E.L.: consultancy, Biovail, Ceregene, Novartis, Merck Serono, Solvay, Teva, Abbott, Allon Therapeutics, Astra Zenica, Eisai, GSK; expert testimony, welding industry; grants/grants pending, Ontario Problem Gambling Research Centre, Canadian Institutes of Health Research, Michael J. Fox Foundation, National Parkinson Foundation; royalties, Saunders, Wiley-Blackwell, Johns Hopkins Press, Cambridge University Press.

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